

## **Phillip Capital Inc. Employment Opportunity in Chicago**

### **VP Risk Management**

Phillip Capital, an FCM and Broker Dealer, seeks a senior Risk and Margin professional interested in joining our team. The role requires strong theoretical foundations as well as willingness to be very hands-on with the day-to-day business. The VP of Risk Management reports to the CRO and is expected to be the team lead with the opportunity to progress eventually to the department's head role.

### **Responsibilities**

- Oversee the daily margin process, including managing margin calls, client notifications, buy-ins, monitoring margin accounts (Reg-T and Futures margin).
- Monitor intraday market risk and exposure for customers' accounts as well as advising on mitigation and resolution of issues. Oversee the daily firm stress test and watch list accounts.
- Provide leadership beyond market risk, particularly credit, technology and operational matters.
- Lead and educate the team to institute and improve the firm's policies and procedures.
- Be a resource for the Technology Team, building new features and addressing problems as they present, coaching all team members towards a better understanding of the business-risk side.
- Manage and mentor other team members and actively invest in their success.
- Work independently on projects and initiatives as the firm expands and builds new features for the broker dealer (e.g., Portfolio Margin, Securities Lending).

### **Qualifications**

- Series 7 and 24 preferred, Series 7 required within 120 days of start. Series 3 a plus.
- Minimum 5 years' experience in a Broker Dealer.
- Strong knowledge and understanding of Options Theory, specifically equity options.
- Analytical skills with the ability to recognize issues and develop solutions.
- Portfolio Margin experience highly preferred.
- Proficiency in Microsoft Word and Excel; SQL and Python skills strongly preferred.
- Ability to efficiently handle a plurality of tasks while maintaining a low error rate.
- Extreme attention to detail. Absolute integrity and ability to recognize and learn from mistakes.
- Must be ready to perform and lead in a high expectations team.

Ability to work on site, at the Chicago office, highly preferred. While Phillip Capital allows flexibility and ability to work from home (there is currently no expectation for five days a week in the office), it values in-person cooperation between team members when reasonable and safe.

To be considered for this position please send your resume to [careers@phillipcapital.com](mailto:careers@phillipcapital.com) with "VP Risk Management" in the subject line. Phillip Capital Inc. will not consider candidates sent by recruiters for this position.

### **About Phillip Capital Inc. and the Phillip Capital Group**

Phillip Capital Inc. is a growing SEC registered securities self-clearing broker-dealer and Futures Commission Merchant (FCM) firm based in Chicago. Phillip Capital Inc. has clearing memberships with CME Group, DME, ICE US, ICE EU, OCC, NFX, CFE, and DTCC. Find out more at [www.phillipcapital.com](http://www.phillipcapital.com) and [www.phillip.com.sg](http://www.phillip.com.sg).