

PhillipCapital Employment Opportunity in Chicago

New Accounts Specialist

Phillip Capital continues to expand its Chicago based, international FCM & self-clearing Broker Dealer. We are looking for a New Accounts Specialist with broad experience opening futures accounts for direct and introduced accounts as well as assisting in the maintenance of existing client accounts. There will be additional opportunities to help shape the new account process as we look to further streamline our client on boarding experience.

Responsibilities

- Review new customer account applications for completeness, KYC, and AML.
- Correspond with prospective customers and brokers to obtain any missing documents.
- Update and maintain bookkeeping system to ensure all customer accounts are properly recorded.
- Compile information and documents required to meet minimum record keeping set by our various regulatory authorities
- Respond to regulatory requests for information (including AML).

Minimum Qualifications

- Three or more years working in financial services with at least two years in new accounts.
- Understanding of CME, NFA, and CFTC rules and regulations as they apply to new accounts.
- Willingness to take on other projects as needed.
- Demonstrated ability to work quickly and accurately under time pressure to delivery on our account opening standards.
- Ability to communicate professionally and efficiently inside and outside the company.
- Comfortable with Microsoft Excel, Word, and Outlook.
- Necessary traits: Absolute integrity, strong sense of ownership, detailed oriented, ability to reflect and learn from mistakes, and willingness to learn and grow with the company.

Preferred Qualifications

- Associates or Bachelor's degree in Accounting, Finance, or a related field.
- Familiar with securities account opening rules and process.
- Basic understanding of futures products, trading, clearing, settlement client services, and financial processes.
- Knowledge of securities rules and regulations.

About Phillip Capital Inc. and PhillipCapital Group

PhillipCapital is based in Chicago and is a Futures Commission Merchant (FCM) and self-clearing broker dealer. PhillipCapital has clearing memberships with the CME, CBOT, COMEX, NYMEX, KCBOT, ICE US, ICE EU, NASDAQ, DME, CFE, and exchanges and has a diverse and growing client base.

While the name is relatively new to the US financial landscape, PhillipCapital has common ownership and works closely with the PhillipCapital Group which is headquartered in Singapore,. The group has network companies operating in the financial hubs of more than sixteen countries, and it is a leading provider of a full range of investment services including global equities, futures, commodities, currencies, mutual funds, insurance etc. in Asia. Founded in 1975, the Group has shareholders' funds greater than \$1.5 Billion USD, global assets under custody greater than \$28 Billion USD, over 900,000 client accounts worldwide, and a global staff strength greater than 3,500. In addition, our affiliated companies currently hold direct membership to twenty six futures exchanges worldwide.

Next Steps

To be considered for this position, please send an email to careers@phillipcapital.com with "New Accounts" in the email subject line. Include your résumé and salary expectations. No recruiters or third parties, no phone calls, and do not contact PhillipCapital businesses in other countries about this position.