

Phillip Capital Inc. Employment Opportunity in Chicago

Assistant Risk Manager

Phillip Capital Inc. seeks an experienced Assistant Risk Manager interested in joining a team supporting a self-clearing FCM and Broker Dealer. Theoretical foundation risk analysis will be an important part of this role, as well as evolving into other areas critical to the trade desk. Opportunity to grow across multiple product classes and roles embracing personal growth and team development.

Responsibilities

- High level of ownership & team prioritization regarding intraday monitoring of market risk.
- Daily stress test of accounts on watch list, options account and firm level exposure (dissemination of the results, in-depth analysis/escalation as needed).
- Monitoring margin process for futures & securities accounts, following up as needed.
- Approver, one of several, in the daily money movements activities for customer accounts.
- Evaluate house margin requirements/ recommend policy changes to management.
- Interact with the other team members to ensure up-to-date policies and procedures.
- Interact with Developers and Technology to address problems as they present, new features and functionalities. Ability to write specs, project management experience and commitment to testing and seeing project through completion required.
- Additional duties to this role would include assisting the Head of Risk in business initiatives outside the scope of risk and margin.

Qualifications

- Series 99 preferred, required within 90 days of start. Series 3 a plus.
- 3 years' experience in a Broker Dealer with Equities and Equity Options.
- Working knowledge of Regulation T, FINRA Rule 4210, SEC Rule 15c3-3, and 15c3-1.
- Analytical skills with the ability to recognize issues and develop solutions.
- Portfolio Margin experience highly preferred.
- Proficiency in Microsoft Word and Excel, SQL and Python skills strongly preferred.
- Ability to efficiently handle a plurality of tasks while maintaining a low error rate.
- Attention to detail, and time management & solid communication/organization skills
- Must be willing to perform and lead in a high expectations team.
- Absolute integrity and ability to recognize and learn from mistakes.

This opportunity provides a hands-on position within a growing global business located in the heart of Chicago's financial district. The position offers exciting growth potential in a newly renovated office with an open floor plan, and good access to trains.

Please send a resume to careers@phillipcapital.com to be considered for this position with "Assistant Risk Manager" in the subject line. Phillip Capital Inc. will not consider candidates sent by recruiters for this position.

About Phillip Capital Inc. and the Phillip Capital Group. Phillip Capital Inc. is a growing SEC registered securities self-clearing broker-dealer and Futures Commission Merchant (FCM) firm based in Chicago. Phillip Capital Inc. has clearing memberships with CME Group, DME, ICE US, ICE EU, OCC, NFX, CFE, and DTCC. Find out more at www.phillipcapital.com and www.phillip.com.sg.