

## Execution Services Analyst

Phillip Capital Inc. seeks an Execution Services Analyst interested in joining a team supporting a self-clearing FCM and Broker Dealer. The Execution Services team works closely with FCM/BD clients and brokers to manage market access, connectivity, and all trading related issues. Candidates with varying levels of experience may apply, though they must have some background in futures or securities.

### Responsibilities

- Work closely with the Execution Services Manager and other analysts to manage daily operations, customer platforms, execution, routing and exchanges connectivity.
- Assume ownership of day-to-day support activities and customer interactions.
- Monitor trading platforms and exchange GUIs for rejected orders and resolve as necessary (FCM side).
- Monitor the order router and client activities, including order approval (BD side).
- Monitor risk reports and take action as necessary.
- Participate in projects and process improvement initiatives.
- Interact with developers and technology to address problems as they present, as well as new features and functionalities. Ability to write specs, manage projects and ability to test highly preferred: seeing projects through to completion is an important way to contribute to the growth of the firm.
- Ensure trading and compliance processes are completed; escalate issues to management.
- Support other teams at Phillip as necessary.

### Minimum Qualifications

- Series 3, SIE and Series 7 preferred; required within 120 days of start otherwise.
- 0 - 5 years of work experience within the financial services industry
- Ability to work in a time-sensitive and often pressured financial markets environment
- Strong client service skills
- Proficiency in VBA, Excel, SQL and Python strongly preferred.
- Ability to quickly and effectively absorb and analyze information; technical aptitude desired.
- Clear communicator and willingness to work closely with other teams.
- Results oriented, efficient, able to learn from mistakes, committed to avoid mistakes at all costs.

### Preferred Qualifications

- Degree in business, finance, economics, computer science, engineering or related field.

Please send a resume to [careers@phillipcapital.com](mailto:careers@phillipcapital.com) with "Execution Services Analyst" in the subject line. Phillip Capital Inc. will not consider candidates sent by recruiters.

### About Phillip Capital Inc. and the Phillip Capital Group

Phillip Capital Inc. is a growing SEC registered securities self-clearing broker-dealer and Futures Commission Merchant (FCM) firm based in Chicago. Phillip Capital Inc. has clearing memberships with CME Group, DME, ICE US, ICE EU, OCC, NFX, CFE, and DTCC. Find out more at [www.phillipcapital.com](http://www.phillipcapital.com) and [www.phillip.com.sg](http://www.phillip.com.sg).