

We're Hiring – Multiple Accounting Roles!

Location: Chicago, IL (Hybrid)

Phillip Capital Inc., located in Chicago, is dually registered with the SEC/FINRA as a self-clearing broker dealer and the Commodity Futures Trading Commission (CFTC) as a registered Futures Commission Merchant (FCM) serving professional traders and institutions with customized clearing, custody and global market access solutions.

We offer the opportunity to grow your skills and make meaningful, visible contributions to both your team and the company. We believe in integrity, accountability, open communication, and continual improvement to build for the long term. Our office in the iconic Board of Trade Building is conveniently located near Metra and CTA lines and close to restaurants, parks, shopping, and cultural institutions. Our building amenities include indoor bicycle parking, a gym, and a rooftop deck. Learn more about us at www.phillipcapital.com and www.phillip.com.sg.

We are seeking qualified candidates at the following levels:

Senior Accountant Level: 3-5 years of experience

Manager Level: 5-8 years of experience

Controller/Sr. Controller Level: 8-12 years of experience

Members of the Accounting team will work closely with various departments, such as operations, risk and compliance, to ensure proper accounting treatment of transactions and adherence to regulatory requirements. All positions will play a critical role in ensuring the financial integrity of the firm's financial operations.

Key Responsibilities (may vary by level)

- Maintain the general ledger, including journal entries, account reconciliations, and month-end close activities.
- Assist in the preparation of financial statements in accordance with GAAP and broker-dealer accounting standards.
- Monitor and reconcile clearing firm activities, including trade settlements, fail-to-deliver/receive, and PAB (Proprietary Accounts of Broker-Dealers) accounts.
- Track and record daily cash activity across multiple accounts, including client, firm, and reserve accounts.
- Prepare and file required regulatory reports such as FOCUS Reports (Form X-17A-5), Net Capital computations, and customer reserve formula (Rule 15c3-3).
- Work closely with compliance and operations teams to ensure accurate financial representation of trading activity and firm positions.
- Support annual external audits and FINRA/SEC examinations by preparing schedules and documentation.
- Analyze variances and trends in P&L, capital requirements, and balance sheet items.
- Ensure adherence to internal controls and participate in process improvement initiatives.

Qualifications

- Bachelor's degree in Accounting, Finance, or related field
- Knowledge of SEC Rule 15c3-1 (Net Capital) and Rule 15c3-3 (Customer Protection)
- Proficient in Excel and general ledger/accounting systems (e.g., QuickBooks, NetSuite, or Oracle)
- Strong understanding of U.S. GAAP
- High level of integrity and professionalism
- Strong analytical and problem-solving skills
- Excellent attention to detail and organizational skills

Preferred Qualifications

- Experience at a broker-dealer clearing firm
- CPA or progress toward CPA designation
- Familiarity with FOCUS filings and FINRA regulatory reporting systems
- Knowledge of DTCC, NSCC, and clearing operation

To be considered for this position, please apply via LinkedIn or Indeed or submit a resume directly to careers@phillipcapital.com with "Accounting" in the subject line. Phillip Capital Inc. will not consider candidates sent by recruiters for this position.

Pay range will vary based on role applying for and relevant experience.